

CISI Marking Commentary: What further measures could be implemented in securities regulation to ensure that the self-interested rational choices of the agent coincide with the wishes of the principal? Overall grade awarded: A (Pass)			
Learning Outcome	Teacher-Assessor comments	Marks	Mark Band
LO1 (6 marks) <i>Plan and manage an investigation</i>	The candidate has submitted a project plan containing clear objectives. The candidate has highlighted in the evaluation that the action plan has been amended based on the research undertaken, however this was not recorded in the action plan. On the whole, the candidate has followed their plan, however, they originally stated that four solutions to the problem would be offered in the report. The candidate has only included two suggested solutions as a result of including unplanned additional content in the report.	4	2
LO2 (14 marks) <i>Undertake research, collect evidence and select information using appropriate methodologies</i>	The candidate has effectively selected and used information from a large number and wide range of sources. Sources are correctly referenced within the report with a comprehensive section detailing all of the sources used at the end of the report. The information gathered at the research stage has been analysed extremely well and provides clear and detailed links to the question posed in the project title. The candidates has demonstrated a very thorough understanding of the topic area. Additional marks could have been gained by evaluating the reliability of the research sources.	12	3
LO3 (25 marks) <i>Analyse and interpret evidence, draw conclusions and write up results in the form of a report</i>	Overall, the project is written to a high standard with the candidate demonstrating a thorough understanding of the topic. This project flows extremely well due to a well thought out structure. Graphs and tables are used effectively to reinforce points made by the candidate in the report, however, more attention to detail is required when numbering the figures used. There are occasional spelling errors, however, they have no impact upon the overall effectiveness of the report. It was also nice to see that the candidate has taken the time to ensure the reader understands some particularly technical vocabulary. Additional marks could have been gained by providing a more comprehensive and detailed conclusion, acknowledged by the candidate in the evaluation.	21	3
LO4 (9 marks) <i>Present an evaluation of the project</i>	The candidate offers some insight into some of the issues faced when tackling the project and how these impacted upon the final piece of work submitted. Suggested improvements are limited and additional marks could have been gained by identifying how the overall process could help them in the future.	6	2
Total marks / final grade		43/54	